

## General Assembly

Raised Bill No. 6528

January Session, 2021

LCO No. 3885



Referred to Committee on HOUSING

Introduced by: (HSG)

## AN ACT CONCERNING THE SEALING OF EVICTION RECORDS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective from passage) (a) As used in this section and
- 2 section 2 of this act, unless the context otherwise requires:
- 3 (1) "Consumer reporting agency" has the same meaning as provided
- 4 in 15 USC 1681a, as amended from time to time, and includes, but is not
- 5 limited to, any tenant screening or other background screening
- 6 provider; and
- 7 (2) "Landlord" has the same meaning as provided in section 47a-1 of 8 the general statutes, as amended by this act.
- 9 (b) All records of cases of summary process matters pursuant to
- 10 chapter 832 of the general statutes, including access to the online docket,
- and all records of appeal under chapter 832 of the general statutes, shall
- 12 be sealed and confidential and for the use of the court in housing
- 13 matters, and open to inspection or disclosure to any third party,

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including bona fide researchers commissioned by a state agency, only upon order of the Superior Court, except as provided in subsections (c) and (d) of this section. Such order may be granted ex parte upon the person's motion and showing of good cause. For purposes of this subsection, "good cause" includes, but is not limited to, the gathering of newsworthy facts or information or for scholarly, educational, 20 journalistic or governmental purposes, but does not include the collection of information to be provided or used by a consumer reporting agency or by a landlord in making decisions regarding whether and on what terms to lease a dwelling unit to a prospective tenant. If the Superior Court determines that good cause exists to provide such records pursuant to this subsection, the Superior Court shall redact or alter all defendants' names to appellations such as "Jane Doe" or "John Doe" and shall redact any personally identifiable information of a defendant unless doing so is necessary to fulfill the purposes of the request for access. A decision by the Superior Court 30 denying access pursuant to this subsection shall be considered a final order for purposes of appeal.

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- (c) The records described in subsection (b) of this section shall be available without a court order to:
- (1) A party to the action, including a party's attorney or any designee acting on a summary process defendant's behalf for the sole purpose of providing assistance to such party. A party may request online access to the court docket by contacting the clerk's office. Upon the filing of the writ, summons and complaint in the Superior Court, the clerk of the court shall mail a notice to each defendant informing the defendant how to obtain access to the online docket. Such notice shall be written simply and understandably in both English and Spanish;
- (2) An occupant of the premises who is the subject of the action, who provides the clerk with the name of one of the parties or the case number and shows proof of occupancy. Such proof of occupancy may consist of one or more of the following: (A) A piece of mail addressed to the occupant at the premises; (B) a utility bill or similar documentation in

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the occupant's name; (C) a government-provided identification listing the premises as an address; or (D) other means that reasonably identifies the individual to the clerk as an occupant of the premises;

- (3) An attorney licensed to practice law in the state who has an active account in the electronic system of filing documents with the Judicial Branch, provided no attorney shall access the online docket of a residential summary process matter in which the attorney is not representing a party unless the attorney first certifies that such attorney (A) is accessing such docket for the purpose of advising or representing a client or prospective client in such case or a materially related case, and (B) will not, without permission from the tenant to which the information relates, disclose or share outside such attorney's firm any information gathered from the online docket to any person or entity who is not a party, or such party's attorney, to the case in question or a materially related case. A knowingly false certification pursuant to this subsection shall subject an attorney to discipline pursuant to section 51-90e of the general statutes; and
- (4) Employees of the Judicial Branch who, in the performance of their duties, require access to such records.
- (d) All records of cases of summary process matters pursuant to chapter 832 of the general statutes, including access to the online docket, shall be unsealed after five days if a judgment of possession for the plaintiff based upon nonpayment of rent, breach of the lease or nuisance or serious nuisance is entered after trial. If an appeal is taken from such judgment, such unsealing shall be delayed until five days after judgment becomes final and all rights of appeal are exhausted. Upon motion of a party and a showing of good cause, (1) a case otherwise ineligible for sealing may be ordered sealed, and (2) a case eligible for sealing may be ordered unsealed. Nothing in this section shall preclude the parties, by agreement, from maintaining the sealed status of any case.
- (e) Nothing in this section shall be construed to prevent the release of

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anonymized and aggregated data relating to summary process matters, including (1) caseload data, (2) statistics regarding disposition, (3) aggregate demographic characteristics of parties, and (4) similar information, provided such data is presented in such a way as to reasonably prevent the identification of individual defendants whose cases have not been unsealed pursuant to subsection (b), (c) or (d) of this section.

- (f) The Judicial Branch shall make any necessary modifications to the system on the Internet web site of the Judicial Branch for accessing online records described in subsection (b) of this section to ensure that the requirements of this section are met, including, but not limited to, by requiring a certification of any attorney to access an online docket of a summary process matter as set forth in subdivision (3) of subsection (c) of this section. The Judicial Branch shall not sell or otherwise provide information, whether digital or otherwise, to a consumer reporting agency regarding summary process matters that have not been unsealed pursuant to subsection (b), (c) or (d) of this section.
- Sec. 2. (NEW) (Effective from passage) (a) No landlord of a dwelling unit, as defined in section 47a-1 of the general statutes, as amended by this act, shall refuse to rent or offer a lease to a potential tenant, nor shall a consumer reporting agency recommend against renting or offering a lease to a potential tenant, on the basis that the potential tenant was involved in a past or pending summary process action, unless such action has been unsealed pursuant to subsection (b), (c) or (d) of section 1 of this act. There shall be a rebuttable presumption that a person is in violation of this subsection if it is established that the person requested information regarding a summary process action relating to a potential tenant that has not been unsealed pursuant to subsection (b), (c) or (d) of section 1 of this act from a consumer reporting agency or otherwise inspected court records relating to a potential tenant and the person subsequently refused to rent or offer a lease to the potential tenant.
- (b) A landlord of a dwelling unit who refuses to rent or offer a lease to a potential tenant shall provide a written explanation describing the

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reason or reasons for denying such tenant's application. Knowingly providing a false reason or reasons for denying a tenant's application shall be deemed an unfair or deceptive trade practice under section 42-115 of the general statutes.

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- (c) An individual aggrieved by a landlord's or consumer reporting agency's violation of subsection (a) of this section may file a complaint with the Commission on Human Rights and Opportunities pursuant to section 46a-82 of the general statutes. A violation of subsection (a) of this section shall constitute a discriminatory housing practice pursuant to section 46a-64c of the general statutes, as amended by this act, for the purposes of filing a complaint, investigation and administrative enforcement pursuant to chapter 814c of the general statutes, but shall not permit an individual to bring an action directly in the Superior Court pursuant to section 46a-98a of the general statutes.
- (d) Nothing in this section shall be construed to prevent a landlord from denying an application for a tenancy solely on the basis of present insufficient income or that an applicant is likely to materially damage the premises or threaten the health or safety of the landlord or other tenants.
- Sec. 3. Section 46a-64c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 133 (a) It shall be a discriminatory practice in violation of this section:
- 134 (1) To refuse to sell or rent after the making of a bona fide offer, or to 135 refuse to negotiate for the sale or rental of, or otherwise make 136 unavailable or deny, a dwelling to any person because of race, creed, 137 color, national origin, ancestry, sex, gender identity or expression, 138 marital status, age, lawful source of income, familial status or status as 139 a veteran.
  - (2) To discriminate against any person in the terms, conditions, or privileges of sale or rental of a dwelling, or in the provision of services or facilities in connection therewith, because of race, creed, color,

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national origin, ancestry, sex, gender identity or expression, marital status, age, lawful source of income, familial status or status as a veteran.

- (3) To make, print or publish, or cause to be made, printed or published any notice, statement, or advertisement, with respect to the sale or rental of a dwelling that indicates any preference, limitation, or discrimination based on race, creed, color, national origin, ancestry, sex, gender identity or expression, marital status, age, lawful source of income, familial status, learning disability, physical or mental disability or status as a veteran, or an intention to make any such preference, limitation or discrimination.
- (4) (A) To represent to any person because of race, creed, color, national origin, ancestry, sex, gender identity or expression, marital status, age, lawful source of income, familial status, learning disability, physical or mental disability or status as a veteran that any dwelling is not available for inspection, sale or rental when such dwelling is in fact so available.
- (B) It shall be a violation of this subdivision for any person to restrict or attempt to restrict the choices of any buyer or renter to purchase or rent a dwelling (i) to an area which is substantially populated, even if less than a majority, by persons of the same protected class as the buyer or renter, (ii) while such person is authorized to offer for sale or rent another dwelling which meets the housing criteria as expressed by the buyer or renter to such person, and (iii) such other dwelling is in an area which is not substantially populated by persons of the same protected class as the buyer or renter. As used in this subdivision, "area" means municipality, neighborhood or other geographic subdivision which may include an apartment or condominium complex; and "protected class" means race, creed, color, national origin, ancestry, sex, gender identity or expression, marital status, age, lawful source of income, familial status, learning disability, physical or mental disability or status as a veteran.

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(5) For profit, to induce or attempt to induce any person to sell or rent any dwelling by representations regarding the entry or prospective entry into the neighborhood of a person or persons of a particular race, creed, color, national origin, ancestry, sex, gender identity or expression, marital status, age, lawful source of income, familial status, learning disability, physical or mental disability or status as a veteran.

- (6) (A) To discriminate in the sale or rental, or to otherwise make unavailable or deny, a dwelling to any buyer or renter because of a learning disability or physical or mental disability of: (i) Such buyer or renter; (ii) a person residing in or intending to reside in such dwelling after it is so sold, rented, or made available; or (iii) any person associated with such buyer or renter.
- (B) To discriminate against any person in the terms, conditions or privileges of sale or rental of a dwelling, or in the provision of services or facilities in connection with such dwelling, because of a learning disability or physical or mental disability of: (i) Such person; or (ii) a person residing in or intending to reside in such dwelling after it is so sold, rented, or made available; or (iii) any person associated with such person.
- (C) For purposes of this subdivision, discrimination includes: (i) A refusal to permit, at the expense of a person with a physical or mental disability, reasonable modifications of existing premises occupied or to be occupied by such person if such modifications may be necessary to afford such person full enjoyment of the premises; except that, in the case of a rental, the landlord may, where it is reasonable to do so, condition permission for a modification on the renter agreeing to restore the interior of the premises to the condition that existed before the modification, reasonable wear and tear excepted; (ii) a refusal to make reasonable accommodations in rules, policies, practices or services, when such accommodations may be necessary to afford such person equal opportunity to use and enjoy a dwelling; (iii) in connection with the design and construction of covered multifamily dwellings for the first occupancy after March 13, 1991, a failure to design and construct

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those dwellings in such manner that they comply with the requirements of Section 804(f) of the Fair Housing Act or the provisions of the state building code as adopted pursuant to the provisions of sections 29-269 and 29-273, whichever requires greater accommodation. "Covered multifamily dwellings" means buildings consisting of four or more units if such buildings have one or more elevators, and ground floor units in other buildings consisting of four or more units.

- (7) For any person or other entity engaging in residential real-estaterelated transactions to discriminate against any person in making available such a transaction, or in the terms or conditions of such a transaction, because of race, creed, color, national origin, ancestry, sex, gender identity or expression, marital status, age, lawful source of income, familial status, learning disability, physical or mental disability or status as a veteran.
- (8) To deny any person access to or membership or participation in any multiple-listing service, real estate brokers' organization or other service, organization, or facility relating to the business of selling or renting dwellings, or to discriminate against him in the terms or conditions of such access, membership or participation, on account of race, creed, color, national origin, ancestry, sex, gender identity or expression, marital status, age, lawful source of income, familial status, learning disability, physical or mental disability or status as a veteran.
- (9) To coerce, intimidate, threaten, or interfere with any person in the exercise or enjoyment of, or on account of his having exercised or enjoyed, or on account of his having aided or encouraged any other person in the exercise or enjoyment of, any right granted or protected by this section.
- (10) To refuse to rent or offer a lease to a potential tenant, or for a consumer reporting agency, as defined in section 1 of this act, to recommend against renting or offering a lease to a potential tenant, on the basis that the tenant was involved in a past or pending summary process action pursuant to chapter 832, unless such action has been

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240 <u>unsealed pursuant to subsection (b), (c) or (d) of section 1 of this act.</u>

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(b) (1) The provisions of this section shall not apply to (A) the rental of a room or rooms in a single-family dwelling unit if the owner actually maintains and occupies part of such living quarters as his residence or (B) a unit in a dwelling containing living quarters occupied or intended to be occupied by no more than two families living independently of each other, if the owner actually maintains and occupies the other such living quarters as his residence. (2) The provisions of this section with respect to the prohibition of discrimination on the basis of marital status shall not be construed to prohibit the denial of a dwelling to a man or a woman who are both unrelated by blood and not married to each other. (3) The provisions of this section with respect to the prohibition of discrimination on the basis of age shall not apply to minors, to special discount or other public or private programs to assist persons sixty years of age and older or to housing for older persons as defined in section 46a-64b, provided there is no discrimination on the basis of age among older persons eligible for such housing. (4) The provisions of this section with respect to the prohibition of discrimination on the basis of familial status shall not apply to housing for older persons as defined in section 46a-64b or to a unit in a dwelling containing units for no more than four families living independently of each other, if the owner of such dwelling resides in one of the units. (5) The provisions of this section with respect to the prohibition of discrimination on the basis of lawful source of income shall not prohibit the denial of full and equal accommodations solely on the basis of insufficient income. (6) The provisions of this section with respect to the prohibition of discrimination on the basis of sex shall not apply to the rental of sleeping accommodations to the extent they utilize shared bathroom facilities when such sleeping accommodations are provided by associations and organizations which rent such sleeping accommodations on a temporary or permanent basis for the exclusive use of persons of the same sex based on considerations of privacy and modesty.

(c) Nothing in this section limits the applicability of any reasonable state statute or municipal ordinance restricting the maximum number

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of persons permitted to occupy a dwelling.

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- (d) Nothing in this section or section 46a-64b shall be construed to invalidate or limit any state statute or municipal ordinance that requires dwellings to be designed and constructed in a manner that affords persons with physical or mental disabilities greater access than is required by this section or section 46a-64b.
  - (e) Nothing in this section prohibits a person engaged in the business of furnishing appraisals of real property to take into consideration factors other than race, creed, color, national origin, ancestry, sex, gender identity or expression, marital status, age, lawful source of income, familial status, learning disability, physical or mental disability or status as a veteran.
- 286 (f) Notwithstanding any other provision of this chapter, complaints 287 alleging a violation of this section shall be investigated within one 288 hundred days of filing and a final administrative disposition shall be 289 made within one year of filing unless it is impracticable to do so. If the 290 Commission on Human Rights and Opportunities is unable to complete 291 its investigation or make a final administrative determination within 292 such time frames, it shall notify the complainant and the respondent in 293 writing of the reasons for not doing so.
- (g) Any person who violates any provision of this section shall be guilty of a class D misdemeanor.
- Sec. 4. Section 47a-1 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- As used in this chapter, [and] sections 47a-21, 47a-23 to 47a-23c, inclusive, 47a-26a to 47a-26g, inclusive, 47a-35 to 47a-35b, inclusive, 47a-300 41a, 47a-43 and 47a-46 and sections 1 and 2 of this act:
- 301 (a) "Action" includes recoupment, counterclaim, set-off, cause of action and any other proceeding in which rights are determined, including an action for possession.

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(b) "Building and housing codes" include any law, ordinance or governmental regulation concerning fitness for habitation or the construction, maintenance, operation, occupancy, use or appearance of any premises or dwelling unit.

- (c) "Dwelling unit" means any house or building, or portion thereof, which is occupied, is designed to be occupied, or is rented, leased or hired out to be occupied, as a home or residence of one or more persons.
- 311 (d) "Landlord" means the owner, lessor or sublessor of the dwelling 312 unit, the building of which it is a part or the premises.
  - (e) "Owner" means one or more persons, jointly or severally, in whom is vested (1) all or part of the legal title to property, or (2) all or part of the beneficial ownership and a right to present use and enjoyment of the premises and includes a mortgagee in possession.
  - (f) "Person" means an individual, corporation, limited liability company, the state or any political subdivision thereof, or agency, business trust, estate, trust, partnership or association, two or more persons having a joint or common interest, and any other legal or commercial entity.
  - (g) "Premises" means a dwelling unit and the structure of which it is a part and facilities and appurtenances therein and grounds, areas and facilities held out for the use of tenants generally or whose use is promised to the tenant.
  - (h) "Rent" means all periodic payments to be made to the landlord under the rental agreement.
- (i) "Rental agreement" means all agreements, written or oral, and valid rules and regulations adopted under section 47a-9 or subsection (d) of section 21-70 embodying the terms and conditions concerning the use and occupancy of a dwelling unit or premises.
  - (j) "Roomer" means a person occupying a dwelling unit, which unit does not include a refrigerator, stove, kitchen sink, toilet and shower or

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- bathtub and one or more of these facilities are used in common by other occupants in the structure.
- (k) "Single-family residence" means a structure maintained and used as a single dwelling unit. Notwithstanding that a dwelling unit shares one or more walls with another dwelling unit or has a common parking facility, it is a single-family residence if it has direct access to a street or thoroughfare and does not share heating facilities, hot water equipment or any other essential facility or service with any other dwelling unit.
- (l) "Tenant" means the lessee, sublessee or person entitled under a rental agreement to occupy a dwelling unit or premises to the exclusion of others or as is otherwise defined by law.
- (m) "Tenement house" means any house or building, or portion thereof, which is rented, leased or hired out to be occupied, or is arranged or designed to be occupied, or is occupied, as the home or residence of three or more families, living independently of each other, and doing their cooking upon the premises, and having a common right in the halls, stairways or yards.

This act shall take effect as follows and shall amend the following		
sections:		
Section 1	from passage	New section
Sec. 2	from passage	New section
Sec. 3	from passage	46a-64c
Sec. 4	from passage	47a-1

## Statement of Purpose:

To prohibit landlords from considering the prior eviction records of prospective tenants.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

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